

PTO/SB/08A (07-06)

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/055,863 | |
| | | | Filing Date | January 22, 2002 | |
| | | | First Named Inventor | Engler, Heidrun | |
| | | | Art Unit | 1623 | |
| Examiner Name | Elli Peselev | | | | |
| Attorney Docket Number | 016930-000816US | | | | |
| Sheet | 1 | of | 6 | | |

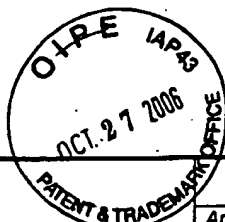
| U.S. PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| EP | A2 | US-2003-0211598 | 11/13/2003 | Engler et al. | |
| EP | A3 | US-2004-0014709 | 01/22/2004 | Engler et al. | |
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| EP | A5 | US-2006-0199782 | 09/07/2006 | Engler et al. | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| EP | A10 | WO | 95/10265 | A1 | 04/20/1998 | Cytryx Corporation | | |
| EP | A11 | WO | 97/11682 | A2 | 04/03/1997 | University of Pittsburgh | | |

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| Examiner Signature | /Elli Peselev/ | Date Considered | 11/24/2006 |
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| | | Examiner Name | Elli Peseelev | | |
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| NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| EP | A20 | CRYSTAL et al., "Transfer of Genes to Humans: Early Lessons and Obstacles to Success," <u>Science</u> , 1995, Vol. 270, pp.404-410. | <input type="checkbox"/> |
| EP | A21 | CULVER et al., "Gene Therapy for Cancer," <u>Treatment Information Group (TIG)</u> , May 1994, Vol. 10, No. 5, pp. 174-178. | <input type="checkbox"/> |
| EP | A22 | DESCAMPS et al., "Strategies for Cancer Gene Therapy Using Adenoviral Vectors," <u>Journal of Molecular Medicine</u> , 1996, Vol. 74, pp. 183-189. | <input type="checkbox"/> |
| EP | A23 | ECK et al., "Gene Based Therapy," <u>The Pharmacological Basis of Therapeutics</u> , 1995, 9th edition, Goodman & Gilman, pp. 77-101. | <input type="checkbox"/> |
| Examiner Signature | /Elli Peseelev/ | | Date Considered 11/24/2006 |

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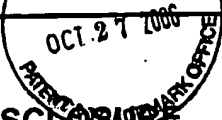
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| EP | A32 | HJEMELAND et al., "A New Class of Nonionic Detergents with a Gluconamide Polar Group," <u>Analytical Biochemistry</u> Vol. 130, pp. 485-490. | <input type="checkbox"/> | |
| EP | A33 | LEDLEY, Fred D., "Nonviral Gene Therapy: The Promise of Genes as Pharmaceutical Products" <u>Human Gene Therapy</u> , September 1995, Vol. 6, pp. 1129-1144. | <input type="checkbox"/> | |
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